

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/546,139	07/19/2006	Michel Chateau	34076/US/2	1181
25763 DORSEY & W	7590 06/20/2007 HITNEY LLP		EXA	MINER
INTELLECTUA SUITE 1500	AL PROPERTY DEPART	TMENT	LONG	, SCOTT
50 SOUTH SIX	TH STREET		· ART UNIT	PAPER NUMBER
MINNEAPOLIS	S, MN 55402-1498		1633	
		- 12		· · ·
·			MAIL DATE	DELIVERY MODE
	,	•	06/20/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)			
Office Action Summary		10/546,139	CHATEAU ET AL.			
		Examiner	Art Unit			
		Scott D. Long	1633			
The MAILING DA Period for Reply	TE of this communication app	ears on the cover sheet with the c	correspondence address			
A SHORTENED STATU WHICHEVER IS LONG - Extensions of time may be ava after SIX (6) MONTHS from the If NO period for reply is specific Failure to reply within the set o	ER, FROM THE MAILING DA ilable under the provisions of 37 CFR 1.13 and mailing date of this communication. But above, the maximum statutory period we rextended period for reply will, by statute, a later than three months after the mailing	Y IS SET TO EXPIRE 1 MONTH(ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE and ate of this communication, even if timely filed.	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status						
1) Responsive to co	mmunication(s) filed on <u>17 Au</u>	<u>ugust 2006</u> .				
2a)☐ This action is FIN	•					
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
ciosed in accorda	nice with the practice under E	x parte Quayre, 1955 C.D. 11, 48	J3 O.G. 213.			
Disposition of Claims						
4a) Of the above of 5) Claim(s) is 6) Claim(s) is 7) Claim(s) is	/are rejected.	vn from consideration.				
Application Papers			,			
9)☐ The specification i	s objected to by the Examine	r. ·				
		epted or b) objected to by the				
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
	· · · · · · · · · · · · · · · · · ·	aminer. Note the attached Office				
Priority under 35 U.S.C. §	119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some col None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s) 1) Notice of References Cited 2) Notice of Draftsperson's Pa 3) Information Disclosure State Paper No(s)/Mail Date	tent Drawing Review (PTO-948) ement(s) (PTO/SB/08)	4) Interview Summary Paper No(s)/Mail Do 5) Notice of Informal F 6) Other:	ate			

Application/Control Number: 10/546,139

Art Unit: 1633

Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claims 1-12, drawn to a method for preparing an evolved microorganism.

Group II, claims 13-14, drawn to a method for preparing an evolved protein

Group III, claims 15, drawn to an evolved gene

Group IV, claims 16-34, drawn to an evolved protein.

Group IV, claims 35-37, drawn to a method of biotransformation.

The inventions listed as Groups I-IV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The inventions are drawn to multiple methods and a product, therefore as per 37 CFR § 1.475(a)-(d), applications containing claims drawn to more than one categories of invention (as defined by section (b)) are not considered to have unity of invention (see particularly section (c)). See the following:

Application/Control Number: 10/546,139 Page 3

Art Unit: 1633

37 CFR § 1.475 Unity of invention before the International Searching Authority, the International Preliminary Examining Authority and during the national stage.

- (a) An international and a national stage application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept ("requirement of unity of invention"). Where a group of inventions is claimed in an application, the requirement of unity of invention shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features. The expression "special technical features" shall mean those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art.
- (b) An international or a national stage application containing claims to different categories of invention will be considered to have unity of invention if the claims are drawn only to one of the following combinations of categories:
- (1) A product and a process specially adapted for the manufacture of said product; or
- (2) A product and process of use of said product; or
- (3) A product, a process specially adapted for the manufacture of the said product, and a use of the said product; or
- (4) A process and an apparatus or means specifically designed for carrying out the said process; or
- (5) A product, a process specially adapted for the manufacture of the said product, and an apparatus or means specifically designed for carrying out the said process.
- (c) If an application contains claims to more or less than one of the combinations of categories of invention set forth in paragraph (b) of this section, unity of invention might not be present.
- (d) If multiple products, processes of manufacture or uses are claimed, the first invention of the category first mentioned in the claims of the application and the first recited invention of each of the other categories related thereto will be considered as the main invention in the claims, see PCT Article 17(3)(a) and § 1.476(c).

Therefore there is no special technical feature, as required for co-examination and restriction is required because there is no unity of invention or inventive step. A single group must be elected.

Response Requirement

4. Applicant is advised that the reply to this requirement to be complete must include (i) an election of an invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

Multiple Inventors

5. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Page 5

Examiner Contact Information

6. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to **Scott Long** whose telephone number is **571-272-9048**.

The examiner can normally be reached on Monday - Friday, 9am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Joseph Woitach, can be reached on 571-272-0739. The fax phone

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Scott Long

Patent Examiner

Art Unit 1633

IJanet L. Epps-Fordl Primary Examiner

Art Unit 1633

JLE